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Regulatory Guide 1979

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The Code of Federal Regulations of the United States of America 1975

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Nuclear Regulatory Commission Issuances 1975

this book provides a detailed analysis of mutual fund regulations and governance in the uk from the investor protection perspective it comprehensively describes mutual funds by their function social utility and legal attributes examining the level of protection provided to retail investors under existing regulations mutual funds are externally managed with fund ownership separated out from their management which carries a potential conflict of interest between the self interests of the fund management and each fund s investors the book provides an in depth analysis of this agency problem in the mutual fund industry comparing the competing governance models in the uk and the us and the supervision of management activities in the uk it investigates the main governance mechanisms including disclosure the effectiveness of voting rights and the role of the financial conduct authority in protecting investors it also considers the role of prudential regulations in protecting mutual fund investors with a particular focus on risk management and mutual fund liquidity crisis the book further investigates the impact of the withdrawal of the uk from the european union brexit on the industry and what this means for the future of the undertakings for collective investment in transferable securities ucits in the uk the concept of mutual funds is still not clearly understood so this book will clearly define the different legal and practical aspects of mutual funds it will be the first substantial study of mutual fund governance mechanisms under the existing mutual fund laws and regulations in the uk

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The Bookseller and the Stationery Trades' Journal 1897

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Monthly Catalogue, United States Public Documents 1913

Annual Report 1996

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SEC Docket 1999

Code of Federal Regulations Title 16, Volume 1, January 1, 2015 2016-02-24

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Enforcement of Federal Mine Health and Safety Laws 1973

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